

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
12 July 2001 (12.07.2001)

PCT

(10) International Publication Number  
**WO 01/49259 A2**

(51) International Patent Classification?: **A61K 9/00**

(21) International Application Number: **PCT/US00/35607**

(22) International Filing Date:  
29 December 2000 (29.12.2000)

(25) Filing Language: **English**

(26) Publication Language: **English**

(30) Priority Data:  
60/174,088 30 December 1999 (30.12.1999) **US**

(71) Applicant: **KIMBERLY-CLARK WORLDWIDE, INC.** [US/US]; 401 North Lake Street, Neenah, WI 54956-0349 (US).

(72) Inventor: **SHANKLIN, Gary, L.**; 8447 Easy Street, Fremont, WI 54940 (US).

(74) Agent: **CARPENTER, Robert, N.**; Brinks Hofer Gilson & Lione, P.O. Box 10087, Chicago, IL 60610 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

**Published:**

— Without international search report and to be republished upon receipt of that report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



**WO 01/49259 A2**

(54) Title: **ANTIMICROBIAL ABSORBENT ARTICLE, AND METHODS OF MAKING AND USING THE SAME**

(57) Abstract: A non-irritating multi-ply absorbent article (110) made by treating an inner surface (122) with one or more antimicrobial agents (140) and treating the one or more outer surfaces (121) with one or more siloxane compositions (130), and methods of making and using the same. The antimicrobial agent will remain confined to the inner portion of the absorbent article, thereby preventing irritation to the user, and the siloxane treated ply(s) will provide a pleasing, soothing, non-irritating tactile quality. In one embodiment, the siloxane composition comprises an amine-modified polysiloxane, in which case the product will also entrap any absorbed fluid, holding it in contact with the antimicrobial agent, and preventing it from wetting through the product and contacting the user.

## ANTIMICROBIAL ABSORBENT ARTICLE, AND METHODS OF MAKING AND USING THE SAME

This application is a continuation of U.S. App. Ser. No. 60/174,088 entitled  
5 "Antimicrobial Absorbent Article, and Methods of Making and Using the Same,"  
filed December 30, 1999, the entire disclosure of which is incorporated herein by  
reference.

### TEHNICAL FIELD

The present invention generally relates to an absorbent article such as a  
10 facial tissue which comprises several plies or plies of material. More particularly,  
the present invention relates to a multi-ply absorbent article and methods of  
making and using the same wherein one or more inner surfaces of one or more  
plies is treated with an antimicrobial agent, and one or more outer surfaces of one  
or more plies is treated with a siloxane composition.

### BACKGROUND ART

Antimicrobial articles treated with virucides and germicides are known in  
the art. U.S. Patent Nos. 4,828,912 and 4,897,304, both issued to Hossain et al.,  
pertain to the use of a carboxylic acid/surfactant virucidal composition in  
20 absorbent products. U.S. Patent Nos. 4,764,418 and 4,824,689, both issued to  
Kuenn et al., pertain to the addition of water-soluble humectants to carboxylic  
acid/surfactant virucides for use in tissue products to reduce irritation potential.  
U.S. Patent No. 4,738,847 issued to Rothe et al., pertains to adding a carboxylic  
acid/surfactant virucide to the center ply of a three ply tissue to prevent transfer of  
25 the virucidal composition to the user, and thereby reduce irritation potential.

Products such as those disclosed in the Hossain et al. and Kuenn et al.  
patents can be highly irritating because the virucidal carboxylic acids come in  
contact with the skin when the tissue is used. There is also no mechanism for

preventing the body secretion or fluid from soaking through the tissue and contacting the user.

Products such as those of Rothe et al. have less potential for irritation because the virucidal composition is confined in the inner ply. However, these tissues tend to be harsh rather than soft because the water added with the virucidal composition degrades the tactile properties of the tissue. There is also no mechanism for preventing the body secretion or fluid from soaking through the tissue and contacting the user.

Irritation caused by virucidal or germicidal treatments to absorbent articles is a persistent problem. There have been attempts to ameliorate this problem by mixing the virucidal or germicidal treatment with lotions or emollients. U.S. Patent No. 5,720,966 issued to Ostendorf, pertains to a "medicated" lotion absorbent article. The "medication" may be a virucide or disinfectant. U.S. Patent No. 5,830,487 issued to Klofta et al. pertains to a tissue with a virucidal lotion. The lotion comprises a carboxylic acid/nonionic surfactant virucidal composition. In both the Ostendorf and Klofta, et al. patents, the intent is for the lotion to reside predominantly on the surface of the absorbent article and transfer to the user.

Products such as those disclosed in the Ostendorf and Klofta et al. patents also have a high potential for irritation because the virucidal or germicidal composition is on the surface of the tissue and is intentionally transferred to the user, so the user remains in prolonged contact with the irritant. There is also no mechanism for preventing the body secretion or fluid from soaking through the tissue and contacting the user.

Siloxane treated tissues are also known in the art. U.S. Patent No. 5,227,242 issued to Walter et al., and U.S. Patent No. 5,059,282 issued to Ampulski et al., each pertain to a tissue treated with a siloxane composition resulting in a tissue that is soft, absorbent, and leaves a low amount of residue.

Prior art products such as those of Walter et al. and Ampulski et al. are very soft. However, they do nothing to kill or inactivate any microorganisms that may

be present, so the discarded products remain a breeding ground and reservoir of potentially harmful microorganisms that may contribute to the spread of disease.

## DISCLOSURE OF INVENTION

5 The present invention provides an absorbent article that is soft, non-irritating, and capable of killing microorganisms. More specifically, the present invention provides a multi-ply absorbent article having an outer ply treated with a siloxane composition and an inner surface treated with an antimicrobial agent.

10 In one aspect of the invention, the absorbent article includes a plurality of plies, at least one of the plies defining an outer ply; at least one of the plies having a surface defining an inner surface; one or more siloxane compositions applied to the at least one outer ply; and an antimicrobial effective amount of one or more antimicrobial agents applied to the at least one inner surface.

15 In a further aspect of the invention, a method is provided for making the multi-ply absorbent article. In yet another aspect of the invention, a method is provided for using the multi-ply absorbent article to inhibit the spread of illness.

Other aspects of the invention will be apparent in view of the following description of the preferred embodiments and the accompanying drawings.

## BRIEF DESCRIPTION OF THE DRAWINGS

20 FIG. 1 is a diagram of an absorbent article having three plies, including two outer plies, according to one embodiment of the invention.

FIG. 2 is a diagram of an absorbent article having two plies according to a second embodiment of the invention.

25 FIG. 3 is a diagram of an absorbent article having three plies, including an outer ply, an absorbent inner ply, and a liquid-impermeable-ply, according to a third embodiment of the invention.

## MODE(S) FOR CARRYING OUT INVENTION

Referring now to the accompanying drawings and initially to FIG. 1, a multi-ply absorbent product is shown generally at 110. The term "plies" refers to

discrete product elements arranged in juxtaposition to each other. The term may refer to a plurality of web-like components such as in a multi-ply facial tissue; or it may refer to a collection of functional components arranged into a functional product, such as the liner, wrap sheet, absorbent, outer cover, etc., of diapers or other personal care products. The term "layer" refers to a plurality of strata of different fibers, chemical treatments, etc., within a ply.

In the embodiment shown in FIG. 1, the multi-ply absorbent article 110 has a first outer ply 111, an inner ply 112, and a second outer ply 113. The first outer ply 111 and the second outer ply 113 each have an outwardly facing surface defining outer surfaces 121. At least one surface of the inner ply 112 defines an inner surface 122. In the embodiment shown, only one of the surfaces of the inner ply 112 defines an inner surface 122; however, those skilled in the art will appreciate that in other embodiments both surfaces of the inner ply 112 may define an inner surface.

The plies of the absorbent article may be made from a cellulosic web, and formed as a three-ply facial tissue, bath tissue, paper towel, and the like. Alternately, the plies may be suitable nonwoven substrates and formed into articles such as industrial wipes, wet wipe materials such as wet-creped hand towels and spunbonded and meltblown polymeric webs commonly used in production of disposable hospital items such as surgical drapes, gowns, bedsheets, pillowcases, and the like. Other examples of nonwovens include composites of natural and/or synthetic fibers, formed by turbulent admixing, in nonwoven form. Textile materials of all types, including laminates of different materials, may be used as suitable substrates. For example, hygienic face masks used by persons suffering from respiratory illnesses provide an excellent means for utilizing the present invention. Other essentially inert carriers i.e., those which are essentially non-toxic and non-irritating to human or animal tissue under the conditions of normal use, will be apparent to those skilled in the art.

The inner surface(s) 122 of inner ply 112 is treated with an antimicrobial effective amount of an antimicrobial agent 140. The term "antimicrobial effective

amount" as used herein means an amount of an antimicrobial agent is effective to reduce the rate at which targeted microbes reproduce or to reduce the population of the microbes.

5 The antimicrobial agent serves to kill or inactivate any microorganisms, such as viruses, bacteria, or fungi, that are absorbed into the tissue with body secretions or fluids, thereby inhibiting the spread of disease, such as a viral infection. In a preferred method of use of the multi-ply absorbent article to inhibit the spread of illness, the multi-ply absorbent article is a facial tissue. A user contacts the absorbent article with a bodily discharge, such as a nasal discharge, and entraps the bodily discharge against the anti-microbial agent. The antimicrobial agent is confined to the inner surfaces of the multi-ply absorbent article ply(s), thus preventing its transfer to the skin and resultant irritation.

10 At least one of the outer surfaces 121 of the outer plies 111 and 113 is treated with a siloxane composition 130, which gives the outer plies a softer feel. In a preferred embodiment, the siloxane compound 130 is an amine-modified polysiloxane. The amine-modified polysiloxanes of the siloxane composition 130 preferentially reside on the outer surface of the substrate to which they are applied, either as a result of hydrogen bonding, charge attraction, or other chemical interaction, thereby providing a softness benefit on the surface and providing a degree of water or fluid repellency. However, when a fluid does penetrate the outer surface, the fluid is readily absorbed by the central inner ply 112 and the polysiloxane on the opposite outer surface 113 delays further penetration of the liquid to the outside of the tissue, thus trapping the fluid 150 in the center of the product in contact with the antimicrobial agent. This "one-way-valve" effect protects the user from product wet-through during normal use, and entraps the fluid in contact with the antimicrobial agent.

25 The particulars of both the antimicrobial agent and the siloxane composition will be discussed in detail below.

30 FIG. 2 illustrates a second embodiment of the invention. The absorbent article 210 of this embodiment has two outer plies 211, 213. At least one of the

outward surfaces 221 of the outer plies 211, 213 are treated with a siloxane compound 230. In this embodiment, there is no inner ply. The inner surface(s) 222 are defined by the inward surfaces of the outer plies 211, 213. At least one of the inner surface(s) 222 is treated with an antimicrobial agent 240. As in the first  
5 embodiment, when the siloxane compound is an amine-modified polysiloxane, entrapped fluid 250 in the center of the article is placed in contact with the antimicrobial agent, thus killing microorganisms within the fluid 250 and preventing further exposure to the user.

FIG. 3 illustrates a third embodiment of the invention. The absorbent  
10 article 310 includes an outer ply 311, an inner absorbent ply 312, and a fluid or liquid impermeable base ply 313. This embodiment is especially useful for products such as diapers, incontinence pads, and sanitary napkins, and the plies are made from materials generally known in the art for those products.

The outer ply 311 is generally made from material(s) that are hydrophilic,  
15 compressible, conformable and non-irritating to the wearer's skin. Acceptable materials are well known in the art and include, for example, various natural or synthetic fibers, wood pulp fibers, regenerated cellulose or cotton fibers, or a blend of pulp and other fibers, meltblown polymer, such as polyester, and polypropylene.

20 The inner absorbent ply 312 may also be comprised of other well-known materials used in absorbent articles, including multiple plies of cellulose wadding, rayon fibers, cellulose sponge, hydrophilic synthetic sponge, such as polyurethane, and the like.

Preferred materials for base ply 313 include polyolefin films such as  
25 polyethylene and polypropylene; copolymers of polyolefins including, but not limited to, ethylene vinyl acrylate and ethylene acrylic; microporous fabrics and microporous or micro-apertured films such as Goretex® sold by the W. T. Gore Company of Flagstaff and Phoenix, Ariz; "sized" papers, tissue or paper treated with amino-functional siloxanes.

The outward surface 321 of outer ply 311 is treated with a siloxane compound 330. At least one surface of the inner absorbent ply 312 defines an inner surface 322 and is treated with the antimicrobial agent 340.

As with the other embodiments, the outer ply 311, when treated with an amine-modified polysiloxane, acts as a "one-way valve," entrapping fluid 350. The microorganisms in the entrapped fluid 350 are killed by the antimicrobial agent 340, thereby limiting the exposure of the product user to the microorganisms.

#### Antimicrobial Agent

The antimicrobial agent may comprise any of the virucides, bacteriocides, germicides, fungicides, and disinfectants known in the art. Selection of any particular agent will be dependent on its efficacy versus relevant microorganisms, human safety and toxicological profile, and environmental safety and toxicological profile.

Preferred antimicrobial agents are virucidal compositions. Especially preferred virucidal compositions include, without limitation, the carboxylic acid or the carboxylic acid/surfactant compositions disclosed in U.S. Patent No. 4,897,304, issued to Hossain et al.; U.S. Patent No. 4,764,418, issued to Kuenn et al.; and U.S. Patent No. 4,738,847, issued to Rothe et al., all of which are incorporated herein by reference.

As used herein, an anti-viral carboxylic acid is a material that is capable of killing such viruses as rhinovirus and influenza. Carboxylic acids useful as virucides in the present invention include, without limitation, the compounds having the structure:



wherein R is a radical selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> alkyl, substituted C<sub>1</sub>-C<sub>6</sub> alkyl, carboxy C<sub>1</sub>-C<sub>6</sub> alkyl, carboxyhydroxy C<sub>1</sub>-C<sub>6</sub> alkyl, carboxy halo C<sub>1</sub>-C<sub>6</sub> alkyl, carboxy dihydroxy C<sub>1</sub>-C<sub>6</sub> alkyl, dicarboxyhydroxy C<sub>1</sub>-

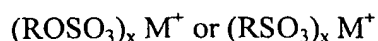


C<sub>6</sub> alkyl, C<sub>1</sub>-C<sub>6</sub> alkenyl, carboxy C<sub>1</sub>-C<sub>6</sub> alkenyl, dicarboxy C<sub>1</sub>-C<sub>6</sub> alkenyl, phenyl, and substituted phenyl radicals. The hydrogen atoms of any of the above compounds may be substituted by one or more functional groups such as halogen atoms, hydroxyl groups, amino groups, thiol groups, nitro groups, and cyano groups, etc.

Especially preferred acids include citric acid, malic acid, maelic acid, tartaric acid, salicylic acid, glycolic acid, adipic acid, glutaric acid, succinic acid, benzoic, and mixtures thereof.

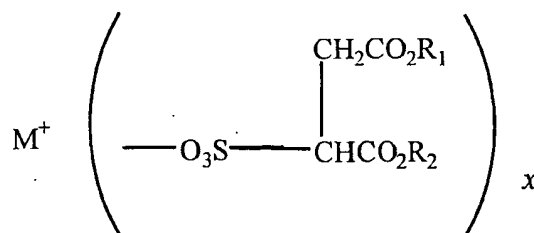
The carboxylic acids can be present in the tissue product in any amount which is virucidally effective. The term "virucidally effective amount" means an amount sufficient to cause a 2 log drop in rhinovirus type 16 within 20 minutes in accordance with the Virucidal Assay Test described in the abovesaid U.S. Patent No. 4,897,304 and Canadian Patent No. 1,188,225, although those skilled in the art of virology will recognize other suitable test procedures for this purpose. The addition rate on the virucidal composition to the tissue surface is preferred to be about 0.5 to 5.0 mg/in<sup>2</sup>.

The carboxylic acids may be combined with a surfactant. Carboxylic acid/surfactant virucides are effective at add-on rates as low as 0.5 mg/in<sup>2</sup>. The surfactant may be cationic, anionic, or nonionic. Preferred nonionic surfactants include the polyoxyethylenated alkylphenols such as TRITON X-100®, manufactured by Union Carbide of Danbury, Connecticut, and the polyoxyethylenated sorbitol esters such as TWEEN 40®, manufactured by Uniquema of Wilmington, Delaware. Preferred cationic surfactants include cetylpyridinium chloride (C<sub>5</sub>H<sub>5</sub>N<sup>+</sup>(CH<sub>2</sub>)<sub>15</sub> CH<sub>3</sub>Cl<sup>-</sup>), dimethylbenzethonium quaternary ammonium chloride (Me<sub>3</sub>CCH<sub>2</sub>C(Me)<sub>2</sub>C<sub>6</sub>H<sub>3</sub>(Me)-OCH<sub>2</sub>CH<sub>2</sub>OCH<sub>2</sub>CH<sub>2</sub><sup>+</sup>N(Me)<sub>2</sub>H<sub>2</sub>C<sub>6</sub>H<sub>5</sub>Cl<sup>-</sup>). The preferred anionic surfactants may be represented by the structures:



wherein, M<sup>+</sup> is a mono, di or trivalent metal cation or an ammonium or substituted ammonium ion; x is an integer; and R is an alkyl group; or

-9-



wherein,  $M^+$  and  $x$  are defined as above and  $R_1$  and  $R_2$  may be the same or different and may be represented by straight or branched chain aliphatic groups.

Preferred anionic surfactants include secondary alkane sulfonates and  
 5 sarcosinate surfactants. Especially preferred anionic surfactants include sodium dodecyl sulfate ( $\text{CH}_3(\text{CH}_2)_{10}\text{---CH}_2\text{OSO}_3\text{---Na}$ ), and the 1,4-bis (2-ethylhexyl) ester, sodium salt of sulfosuccinic acid, as manufactured by Cytec Industries of West Paterson, New Jersey, under the tradename of AEROSOL OT. The above surfactants are presented in an illustrative rather than a limiting sense.

10 Other additives may also be added to the anti-viral carboxylic acid. In a preferred embodiment, the antimicrobial agent includes a water-soluble humectant. For purposes herein, the term "humectant" means a hygroscopic compound or material which has an affinity for water and acts to stabilize the moisture content of a cellulosic web in the presence of fluctuating humidity. The  
 15 term "water-soluble" means having a Hydrophile-Lipophile Balance (HLB) number of 7 or greater. The HLB index is well known in the chemical arts and is a scale which measures the balance between the hydrophilic and lipophilic solution tendencies of a compound. The HLB scale ranges from 1 to approximately 50, with the lower numbers representing highly lipophilic tendencies and the higher  
 20 numbers representing highly hydrophilic tendencies. The presence of a water-soluble humectant can inhibit age-induced reduction in softness in webs containing carboxylic acids, particularly under conditions of low humidity (less than 35% relative humidity).

25 The water-soluble humectant can be any such material or compound which can be applied to the tissue web in a uniform manner, as by spraying, coating, dipping or printing, etc., and which possesses hygroscopic or humectant properties and which will not interfere with the virucidal effectiveness of the tissue product

to the extent that the tissue product is no longer virucidally effective. It must be pointed out that many lotion-type tissue additives, which have HLB numbers less than 7 and hence are not water-soluble, interfere with virucidal activity. Examples of suitable water-soluble humectants include: polyglycols (as hereinafter defined), propylene glycol, sorbitol, lactic acid, sodium lactate, glycerol, and ethoxylated castor oil.

Polyglycols, which for purposes herein include esters or ethers of polyglycols, having a weight average molecular weight of from about 75 to about 90,000 are suitable for purposes of this invention. This molecular weight range represents physical states ranging from a low viscosity liquid to a soft wax to a fairly hard solid. The higher molecular weight polyglycols naturally have to be melted in order to be applied to a tissue web. Examples of suitable polyglycols include polyethylene glycol, polypropylene glycol, polyoxypropylene adducts of glycerol, methoxypolyethylene glycol, polyethylene glycol ethers of sorbitol, polyethylene glycol ethers of glycerol, polyethylene glycol ethers of stearic acid, polyethylene glycol ethers of lauryl alcohol, citric acid fatty esters, malic acid fatty esters, polyethylene glycol ethers of oleyl alcohol, and ethoxylated stearate esters of sorbitol. Polyethylene glycol is a preferred polyglycol because it can be applied to the tissue in amounts which are effective in improving softness without leaving a noticeable residue on the consumer's hands. Polypropylene glycol is also effective, but tends to leave more of a residue at equivalent amounts and is more hydrophobic than polyethylene glycol.

The amount of water-soluble humectant in a single ply or web of a tissue product of this invention can be about 0.05 to weight percent or greater. The weight percentage amount can vary greatly, depending upon the desired tactile properties, the amount of carboxylic acid present that needs to be counteracted, the properties of the water-soluble humectant itself, etc. Preferably, the amount of polyglycol in a single ply of the absorbent article can be from about 2 to about 6 weight percent.

The antimicrobial agent may comprise agents other than carboxylic acids. For example, in one embodiment, the antimicrobial agent comprises at least one quaternary ammonium compound. Preferred quaternary ammonium compounds include cetylpyridinium chloride, methylbenzethonium chloride, benzethonium chloride, benzalkonium chloride, n-alkyl dimethyl benzyl ammonium chlorides, and n-alkyl dimethylethylbenzyl ammonium chlorides. Especially preferred quaternary ammonium compounds include cetylpyridinium chloride, methylbenzethonium chloride, benzethonium chloride, benzalkonium chloride. In another preferred embodiment, the antimicrobial agent comprises triclosan. Those skilled in the art will appreciate that a wide range of antimicrobial agents can be useful in the present invention, and the selection of a particular antimicrobial agent will depend upon the characteristics of a particular fluid that the particular absorbent article is designed to entrap.

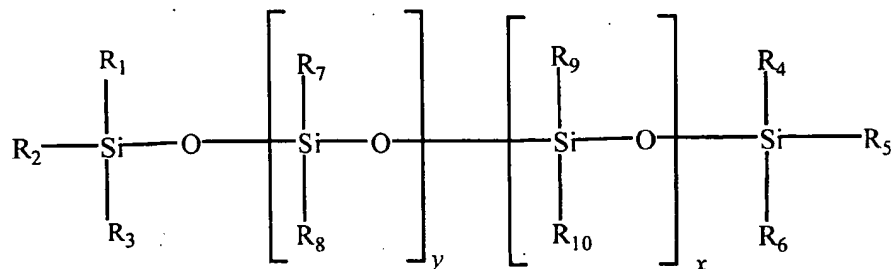
#### Siloxane Composition

The siloxane composition serves to soften the tissue and contributes to a pleasing, smooth, soothing, non-irritating tactile quality. Particular siloxane compositions can be tailored to enhance the absorbency characteristics of the absorbent article, such as by inhibiting wet-through or by entrapping the secretions or fluids within the inner regions of the absorbent article.

Suitable siloxane compositions include, but are not limited to, polydimethyl siloxanes, silicone glycols, epoxyfunctional silicones, carboxyfunctional silicones, hydroxyfunctional silicones, other organofunctional silicones, amine functional silicones, cationic silicones, silicone betaines, silicone amidoamine esters, silicone amidoamine phosphates, and mixtures thereof.

Especially preferred siloxanes are amine-modified polysiloxanes, such as those disclosed in commonly assigned copending U.S. Serial No. 09/012,588, "Soft Absorbent Tissue Products Having Delayed Moisture Penetration," filed January 23, 1998, and incorporated herein by reference.

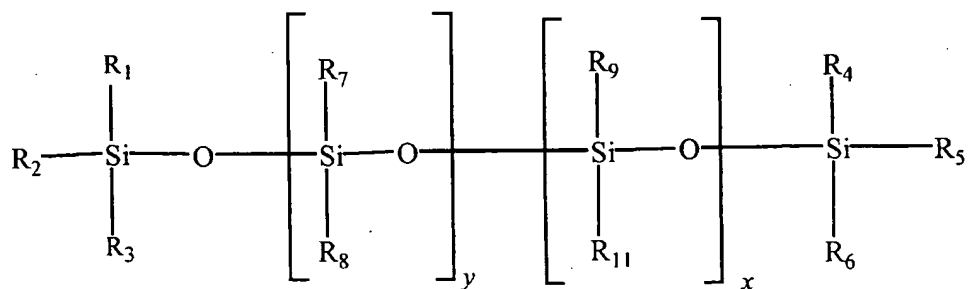
Amine-modified polysiloxane materials which are suitable for purposes of this invention have the following general formula:



wherein  $x$  and  $y$  are integers  $> 0$ . The mole ratio of  $x$  to  $(x + y)$  can be from 0.005 percent to about 25 percent. The  $\text{R}_1 - \text{R}_9$  moieties are selected from  $\text{C}_1$  to  $\text{C}_6$  alkyl substituents. Additionally,  $\text{R}_2$  and  $\text{R}_5$  are selected from hydroxyl or  $\text{C}_1$  to  $\text{C}_6$  alkyl alcohol substituents. Preferred  $\text{R}_1 - \text{R}_9$  moieties include  $\text{C}_1 - \text{C}_4$ .

The  $\text{R}_{10}$  moiety includes at least one amine-related functional group or groups such as amine, imine, and/or amide. For example, the amine-modified polysiloxane can be a polysiloxane where the  $\text{R}_{10}$  moiety contains one amine group per substituent or two or more amine groups per substituent, separated by a linear or branched alkyl chain.

Modified polysiloxane materials which are suitable for blending or mixing with the amine-modified polysiloxane(s) for purposes of balancing the hydrophobicity in accordance with this invention have the following general formula:



wherein  $x$  and  $y$  are integers  $> 0$ . The mole ratio of  $x$  to  $(x + y)$  can be from 0.005 percent to about 25 percent. The  $\text{R}_1 - \text{R}_9$  moieties are selected from  $\text{C}_1$  to  $\text{C}_6$

alkyl substituents. Additionally,  $R_2$  and  $R_5$  are selected from hydroxyl or  $C_1$  to  $C_6$  alkyl alcohol substituents.

Preferred  $R_1$  -  $R_9$  moieties include  $C_1$  -  $C_4$ . The  $R_{11}$  moiety includes at least one organic functional groups such as ethers, polyethers, esters, amines, imines, amides, or other functional groups, including the alkyl and alkenyl analogues of such functional groups.

As an example, the  $R_{11}$  moiety can be a polyether functional group of the generic form  $-R_{12}-(R_{13}-O)_a-(R_{14}-O)_b-R_{15}$ ; wherein  $R_{12}$ ,  $R_{13}$  and  $R_{14}$  are alkyl chains of  $C_1$  to  $C_3$ ,  $R_{15}$  can be hydrogen or a  $C_1$  -  $C_4$  alkyl group, and "a" and "b" can be integers of from 1-100, more specifically from 10-30.

The viscosity range of the amine-modified polysiloxane, which is indicative of the molecular weight, can be from about 25 centipoise to about 2,000,000 centipoise or higher, more specifically from about 100 to about 1,000,000 centipoise.

In order to further optimize and balance the softness, hand protection and absorbency benefits of the modified polysiloxane treatment, blends of two or more modified polysiloxane materials can be applied to the surface of the tissue. In one particular example, a blend of a hydrophobic amino-modified polysiloxane and a hydrophilic polyether-modified polysiloxane can be used. The ratio of the amino-modified polysiloxane to the polyether-modified polysiloxane can be from 100 percent to about 10 percent, and more specifically from 100 percent to about 50 percent.

Those familiar with the polymer art will appreciate that the molecular weight (viscosity), the degree of substitution, the selected species for the various R groups and their chain lengths, the mole ratio of the "x" and "y" components of a single modified polysiloxane species, and blending two or more modified polysiloxane species can be varied to affect the hydrophobicity of the modified polysiloxane to be applied to the surface of the absorbent article.

-14-

The preferred addition rate of the siloxane composition is about 1%-2% siloxane solids per outer ply. An especially preferred add-on rate is about 1.5% siloxane solids per outer ply.

## 5 PRODUCTION

In another aspect of the invention, a method of making a multi-ply absorbent article is provided. To produce the envisioned multi-ply absorbent article, it is necessary to treat an inner surface with the antimicrobial agent, ply the product together, and then crimp or seal the plies together before treating the outer  
10 plies or ply with the siloxane composition. This is necessary because the sheet will become difficult to crimp or seal after the siloxane composition has been applied.

The antimicrobial agent may be applied to the center ply(s) by any of the means known in the art. Suitable means include spraying, foam application,  
15 electrostatic application, flexographic printing, and gravure printing. An especially preferred application method is smooth-roll coating. Other especially preferred methods include the gravure printing methods as disclosed in commonly assigned copending U.S. Serial Number 60/174,087, entitled "Germicidal Tissue Product Using Rotogravure Rolls," filed December 30, 1999; in U.S. Pat. No.  
20 4,950,545 issued to Walter et al.; or in commonly assigned co-pending U.S. serial number 09/012,588, "Soft Absorbent Tissue Products Having Delayed Moisture Penetration," filed January 23, 1998, all of which are incorporated herein by reference.

Likewise, the siloxane composition may be applied to the outer plies by  
25 any of the means known in the art. Suitable means include spraying, foam application, electrostatic application, and flexographic printing. Especially preferred application methods are gravure or rotogravure printing. Gravure printing is preferred because of the control it offers with respect to the amounts added to the article surface. The amount of modified polysiloxane(s) applied to  
30 the surface of the absorbent article will depend on the particular modified

-15-

polysiloxane. However, suitable add-on amounts are from about 0.1 to about 5 weight percent based on the dry weight of the tissue product, more specifically from about 0.5 to about 3 weight percent, and still more specifically from about 0.7 to about 2 weight percent. It is preferable to first emulsify the modified polysiloxane(s) in water using the appropriate surfactant before applying the emulsion to the surface of the absorbent article. While the modified polysiloxane(s) preferentially resides on the surface of the absorbent article to which it is applied, polysiloxanes inherently migrate such that even the center ply of a three-ply tissue product may contain some of the silicone material. However, such amounts are much less than the amount on the outer surface of the absorbent article so that the center ply remains substantially hydrophilic and can wick and absorb liquid.

The invention will be further illustrated with reference to the following specific example. It is understood that the example is given by way of illustration and is not meant to limit the disclosure or the claims that follow.

#### EXAMPLE

A virucidal tissue product was formed from three plies. An inner ply was treated with  $0.23 \text{ mg/cm}^2$  ( $1.5 \text{ mg/in}^2$ ) of a virucidal formulation comprising a 2:1 ratio of citric to malic acid. Two outer plies were treated on their out-facing surfaces with about 1.5% by weight of an amine-modified polysiloxane. The inner ply was then sandwiched between the outer plies. A control tissue product was also formed from three plies. All the plies in the control tissue product were untreated.

A  $0.71 \times 0.43 \text{ cm}$  ( $1.8 \times 1.1 \text{ inch}$ ) rectangular portion each of the virucide treated tissue and the untreated tissue were inoculated with rhinovirus 2, ATCC VR-482 test virus. After 15 seconds of virus exposure, the virucide on the treated tissue was rapidly neutralized and the amount of surviving test virus was determined in each tissue. The virucide/amine-modified polysiloxane tissue



-16-

exhibited a 3.6 log reduction in viable test virus compared to the untreated control tissue.

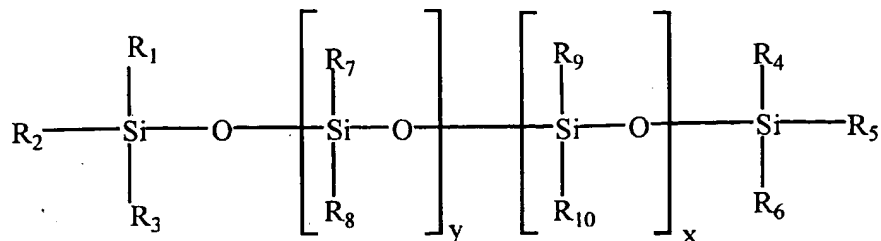
5 It should be readily understood by those persons skilled in the art that the present invention is susceptible of a broad utility and application. Many embodiments and adaptations of the present invention other than those herein described, as well as many variations, modifications and equivalent arrangements will be apparent from or reasonably suggested by the present invention and the foregoing description thereof, without departing from the substance or scope of the  
10 present invention.

Accordingly, while the present invention has been described herein in detail in relation to several embodiments, it is to be understood that this disclosure is only illustrative and exemplary of the present invention and is made merely for purposes of providing a full and enabling disclosure of the invention. The  
15 foregoing disclosure is not intended or to be construed to limit the present invention or otherwise to exclude any such other embodiments, adaptations, variations, modifications and equivalent arrangements, the present invention being limited only by the claims appended hereto and the equivalents thereof.

## CLAIMS:

1. A non-irritating, anti-microbial, multi-ply absorbent article comprising:
  - a plurality of plies, at least one of said plies defining an outer ply;
  - 5 at least one of said plies having a surface defining an inner surface;
  - at least one siloxane composition applied to at least a portion of said at least one outer ply; and
  - an antimicrobially effective amount of at least one antimicrobial agent applied to said at least one inner surface.
- 10 2. The multi-ply absorbent article of claim 1, wherein said plurality of plies comprises three plies.
3. The multi-ply absorbent article of claim 2, wherein said three plies define two outer plies and an inner ply, respectively, and wherein a surface on said inner ply defines said inner surface.
- 15 4. The multi-ply absorbent article of claim 2, wherein said three plies define an outer ply, an inner ply, and a liquid-impermeable base ply, respectively, and wherein a surface on said inner ply defines said inner surface.
5. The multi-ply absorbent article of claim 2, wherein said plurality of plies comprises two plies.
- 20 6. The multi-ply absorbent article of claim 1, wherein said at least one siloxane composition comprises at least one polysiloxane.
7. The multi-ply absorbent article of claim 6, wherein said at least one polysiloxane comprises at least one amine-modified polysiloxane.
8. The multi-ply absorbent article of claim 7, wherein said at least one amine-modified polysiloxane is selected from the groups consisting of compounds having the formula:
- 25

-18-



wherein  $x$  and  $y$  are integers  $> 0$ ;

the mole ratio of  $x$  to  $(x + y)$  is from 0.005 percent to about 25

percent;

5

$\text{R}_1$ ,  $\text{R}_3$ ,  $\text{R}_4$ , and  $\text{R}_8$ , -  $\text{R}_9$  are  $\text{C}_1$  to  $\text{C}_6$  alkyl substituents;

$\text{R}_2$  and  $\text{R}_5$  are  $\text{C}_1$  to  $\text{C}_6$  alkyl, alkyl alcohol, or hydroxyl substituents;

and;

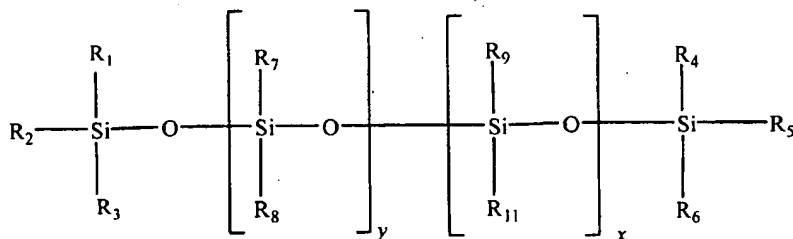
$\text{R}_{10}$  is a moiety including at least one functional group selected from the group consisting of amines, imines, and amides.

10

9. The multi-ply absorbent article of claim 8, wherein  $\text{R}_{10}$  comprises at least one amine group.

10. The multi-ply absorbent article of claim 9, wherein the amine-modified polysiloxane is blended with at least one other modified polysiloxane of the formula:

15



wherein  $x$  and  $y$  are integers  $> 0$ ;

the mole ratio of  $x$  to  $(x + y)$  is from 0.005 percent to about 25

percent;

20

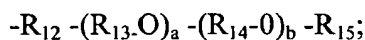
$\text{R}_1$ ,  $\text{R}_3$ ,  $\text{R}_4$ , and  $\text{R}_6$  -  $\text{R}_9$  are  $\text{C}_1$  to  $\text{C}_6$  alkyl substituents;

-19-

R<sub>2</sub> and R<sub>5</sub> are C<sub>1</sub> to C<sub>6</sub> alkyl, alkyl alcohol, or hydroxyl substituents;  
and

R<sub>11</sub> comprises at least one functional group selected from the group  
consisting of ethers, polyethers, esters, amines, imines, amides, and the alkyl and  
alkenyl analogues of such functional groups.

11. The multi-ply absorbent article of claim 10 wherein R<sub>11</sub> is of the  
general formula:



wherein R<sub>12</sub>, R<sub>13</sub> and R<sub>14</sub> are alkyl chains of C<sub>1</sub> to C<sub>3</sub>, R<sub>15</sub> is  
hydrogen or a C<sub>1</sub> - C<sub>4</sub> alkyl group, and "a" and "b" are integers of from 1-100.

12. The multi-ply absorbent article of claim 1, wherein said at least one  
antimicrobial agent comprises at least one virucide.

13. The multi-ply absorbent article of claim 12, wherein said at least  
one virucide comprises a carboxylic acid having the structure R-COOH, wherein  
R is a radical selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> alkyl, carboxy C<sub>1</sub>-C<sub>6</sub>  
alkyl, carboxyhydroxy C<sub>1</sub>-C<sub>6</sub> alkyl, carboxy halo C<sub>1</sub>-C<sub>6</sub> alkyl, carboxy dihydroxy  
C<sub>1</sub>-C<sub>6</sub> alkyl, dicarboxyhydroxy C<sub>1</sub>-C<sub>6</sub> alkyl, C<sub>1</sub>-C<sub>6</sub> alkenyl, carboxy C<sub>1</sub>-C<sub>6</sub> alkenyl,  
dicarboxy C<sub>1</sub>-C<sub>6</sub> alkenyl, phenyl, and substituted phenyl radicals.

14. An absorbent article comprising:  
a first outer ply, an inner ply, and a second outer ply;  
at least one siloxane composition applied to an outward surface of  
said first and second outer plies; and  
an antimicrobially effective amount of at least one antimicrobial  
agent applied to said inner ply.

15. The absorbent article of claim 14, wherein said at least one siloxane  
composition comprises at least one amine-modified polysiloxane.

16. The absorbent article of claim 15, wherein said at least one antimicrobial agent comprises at least one carboxylic acid.

17. A method for inhibiting the transfer of illness comprising:  
providing a multi-ply absorbent article comprising a plurality of  
5 plies, at least one of said plies defining an outer ply; at least one of said plies having a surface defining an inner surface; at least one siloxane composition applied to at least a portion of said at least one outer ply; and an antimicrobially effective amount of at least one antimicrobial agent applied to said at least one inner surface;  
10 contacting a fluid containing microbes with said absorbent article;  
absorbing said fluid within said absorbent article; and  
entrapping said fluid in contact with said antimicrobial agent within said absorbent article.

18. The method of claim 17, wherein said fluid is a nasal discharge.

15 19. The method of claim 17, wherein said antimicrobial agent comprises at least one virucide.

20. The method of claim 17, wherein said at least one siloxane composition comprises at least one amine-modified polysiloxane.

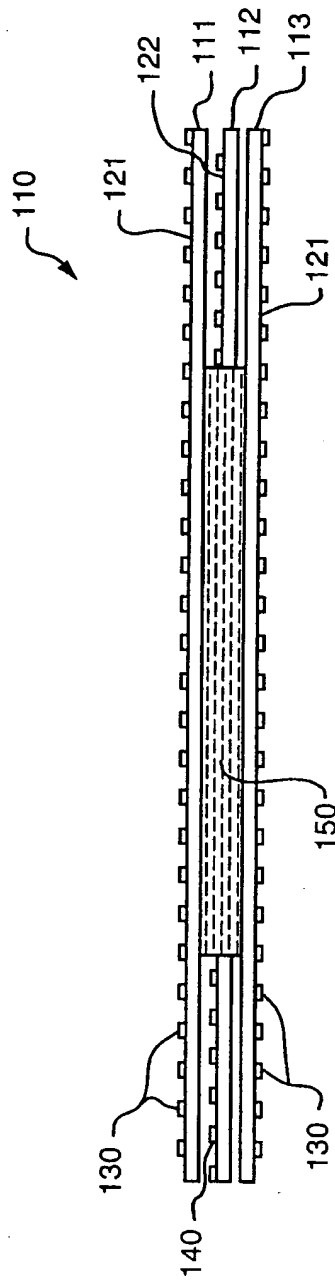


FIG. 1

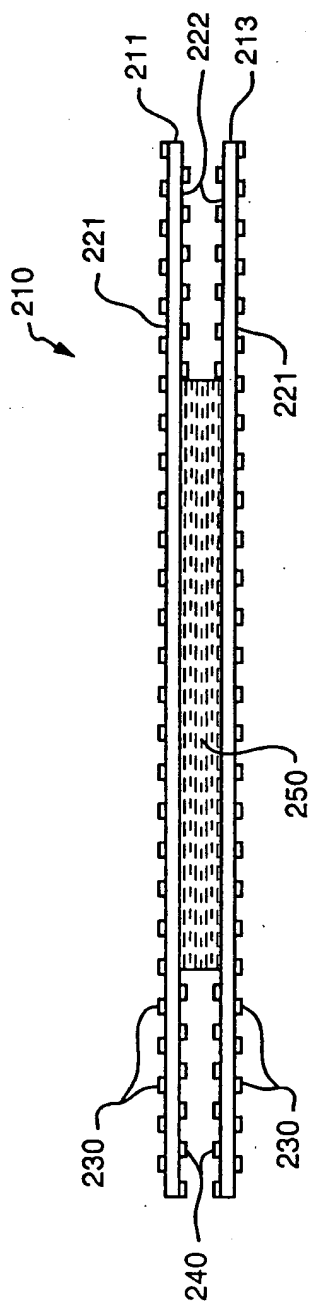


FIG. 2

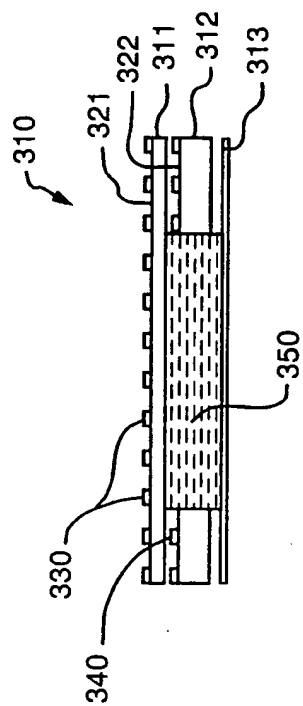


FIG. 3

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
12 July 2001 (12.07.2001)

PCT

(10) International Publication Number  
**WO 01/49259 A3**

(51) International Patent Classification<sup>7</sup>: A01N 25/34,  
37/36, A61K 9/70, A61L 15/42, D21H 27/30

(21) International Application Number: PCT/US00/35607

(22) International Filing Date:  
29 December 2000 (29.12.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/174,088 30 December 1999 (30.12.1999) US

(71) Applicant: **KIMBERLY-CLARK WORLDWIDE, INC.** [US/US]; 401 North Lake Street, Neenah, WI 54956-0349 (US).

(72) Inventor: **SHANKLIN, Gary, L.**; 8447 Easy Street, Fremont, WI 54940 (US).

(74) Agent: **CARPENTER, Robert, N.**; Brinks Hofer Gilson & Lione, P.O. Box 10087, Chicago, IL 60610 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

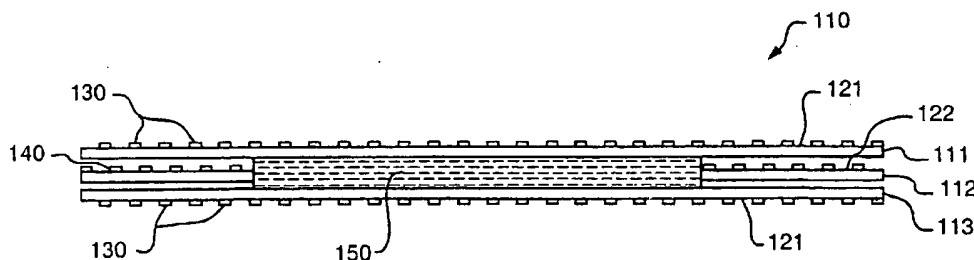
(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:  
— with international search report

(88) Date of publication of the international search report:  
17 January 2002

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: ANTIMICROBIAL ABSORBENT ARTICLE, AND METHODS OF MAKING AND USING THE SAME



(57) Abstract: A non-irritating multi-ply absorbent article (110) made by treating an inner surface (122) with one or more antimicrobial agents (140) and treating the one or more outer surfaces (121) with one or more siloxane compositions (130), and methods of making and using the same. The antimicrobial agent will remain confined to the inner portion of the absorbent article, thereby preventing irritation to the user, and the siloxane treated ply(s) will provide a pleasing, soothing, non-irritating tactile quality. In one embodiment, the siloxane composition comprises an amine-modified polysiloxane, in which case the product will also entrap any absorbed fluid, holding it in contact with the antimicrobial agent, and preventing it from wetting through the product and contacting the user.

WO 01/49259 A3



# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 00/35607

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A01N25/34 A01N37/36 A61K9/70 A61L15/42 D21H27/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A01N A61K D21H A61L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 4 764 418 A (KUENN CARY K ET AL) 16 August 1988 (1988-08-16) cited in the application column 1, line 5 - line 38 column 2, line 64 - column 3, line 1 column 3, line 19 - line 35 column 5, line 15 - column 6, line 4; claims 1,11-13; figures 2A-2F	1-20
Y	WO 99 37860 A (KIMBERLY CLARK CO) 29 July 1999 (1999-07-29) page 1, line 15 - page 2, line 18 page 4, line 7 - page 5, line 10	1-20
A	US 4 738 847 A (ROTHER ROBERT A ET AL) 19 April 1988 (1988-04-19) cited in the application column 1, line 5 - line 62; claims	1-20
	-/--	



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

### \* Special categories of cited documents:

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

29 June 2001

Date of mailing of the international search report

05/07/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo.nl  
Fax: (+31-70) 340-3016

Authorized officer

Muellners, W

# INTERNATIONAL SEARCH REPORT

Intern. Application No

PCT/US 00/35607

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>US 5 635 191 A (MACKEY LARRY N ET AL)  3 June 1997 (1997-06-03)  column 2, line 64 -column 3, line 26  column 4, line 6 - line 30  column 5, line 10 - line 54  column 11, line 42 -column 12, line 45  column 18, line 30 - line 40; claims 1-4  -----</p>	1-20
A	<p>US 5 059 282 A (AMPULSKI ROBERT S ET AL)  22 October 1991 (1991-10-22)  cited in the application  column 1, line 9 - line 43  column 2, line 34 - line 68  column 7, line 3 - line 68  column 9, line 40 - line 60  -----</p>	1-20

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/35607

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 4764418 A	16-08-1988	US 4824689 A	25-04-1989
WO 9937860 A	29-07-1999	US 6054020 A	25-04-2000
		AU 2235699 A	09-08-1999
		BR 9907182 A	14-11-2000
		CN 1288497 T	21-03-2001
		EP 1047838 A	02-11-2000
		TR 200002098 T	21-12-2000
US 4738847 A	19-04-1988	FR 2575924 A	18-07-1986
		GB 2169514 A,B	16-07-1986
		JP 1959035 C	10-08-1995
		JP 6087832 B	09-11-1994
		JP 61222425 A	02-10-1986
US 5635191 A	03-06-1997	AU 4135396 A	19-06-1996
		BR 9509775 A	04-11-1995
		CZ 9701612 A	12-11-1997
		EP 0794803 A	17-09-1997
		FI 972236 A	27-05-1997
		HU 77660 A	28-07-1998
		JP 10509895 T	29-09-1998
		NO 972395 A	28-07-1997
		TR 960503 A	21-07-1996
		WO 9616681 A	06-06-1996
		ZA 9510060 A	02-07-1996
US 5059282 A	22-10-1991	AT 120820 T	15-04-1995
		AT 132556 T	15-01-1996
		AT 104005 T	15-04-1994
		AT 122424 T	15-05-1995
		AU 634963 B	11-03-1993
		AU 3636389 A	21-12-1989
		AU 613765 B	08-08-1991
		AU 3636489 A	21-12-1989
		AU 634964 B	11-03-1993
		AU 3636889 A	21-12-1989
		BR 8902848 A	20-03-1990
		BR 8902849 A	25-09-1990
		BR 8902850 A	25-09-1990
		CA 1328335 A	12-04-1994
		CA 1330382 A	28-06-1994
		CA 1328035 A	29-03-1994
		DE 68914338 D	11-05-1994
		DE 68914338 T	18-08-1994
		DE 68922024 D	11-05-1995
		DE 68922024 T	28-09-1995
		DE 68922529 D	14-06-1995
		DE 68922529 T	21-09-1995
		DE 68925309 D	15-02-1996
		DE 68925309 T	23-05-1996
		EP 0347153 A	20-12-1989
		EP 0347154 A	20-12-1989
		EP 0347176 A	20-12-1989
		EP 0347177 A	20-12-1989
		ES 2070174 T	01-06-1995
		ES 2081303 T	01-03-1996
		ES 2050802 T	01-06-1994

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/35607

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5059282 A		ES 2071658 T	01-07-1995
		GR 3015783 T	31-07-1995
		GR 3018609 T	30-04-1996
		GR 3024877 T	30-01-1998
		JP 2224626 A	06-09-1990
		JP 2806974 B	30-09-1998
		JP 2099690 A	11-04-1990
		JP 2837180 B	14-12-1998
		JP 2099691 A	11-04-1990
		JP 2839556 B	16-12-1998
		KR 140223 B	01-07-1998
		KR 140224 B	01-07-1998
		KR 209384 B	15-07-1999
		MX 170318 B	16-08-1993
		MX 167009 B	22-02-1993
		MX 167010 B	22-02-1993
		NZ 229549 A	25-02-1992
		NZ 229550 A	29-01-1992
		NZ 229551 A	29-01-1992